



**pennsylvania**  
DEPARTMENT OF PUBLIC WELFARE

# OFFICE OF LONG-TERM LIVING BULLETIN

**ISSUE DATE**

February 12, 2014

**EFFECTIVE DATE**

March 1, 2014

**NUMBER**

01-14-13, 03-14-10, 07-14-01, 55-14-01

**SUBJECT**

Revised Pennsylvania Preadmission Screening  
Resident Review Identification (Level I) Form  
(MA 376)

**BY**

Bonnie L. Rose, Deputy Secretary  
Office of Long-Term Living

## PURPOSE:

The purpose of this bulletin is to issue a revised Pennsylvania Preadmission Screening Resident Review Identification (Level I) Form (PA-PASRR-ID). The revised PA-PASRR-ID replaces the PA-PASRR-ID (Bulletin 01-09-01, 03-09-01) dated March 1, 2009.

## SCOPE:

This bulletin applies to all agencies that perform preadmission screenings for individuals prior to entering a nursing facility enrolled in the Medical Assistance (MA) Program.

## BACKGROUND/DISCUSSION:

In 1987, Congress enacted major nursing home reform legislation that affected all nursing facilities participating in the Medicare and MA Program as part of the Omnibus Budget Reconciliation Act of 1987 (OBRA '87). OBRA '87, among other things, required the implementation of a preadmission screening program, applicable to all persons seeking admission to an MA certified nursing facility, whether that person is applying for or receiving MA benefits. The purpose of the preadmission screening is to determine whether an individual with mental illness (MI), intellectual disability (ID) or other related conditions (ORC) requires nursing facility services and, if the individual does, whether that individual meets target criteria and requires specialized services for their condition. See 42 CFR §§ 483.100 - 483.138. An MA certified nursing facility may not admit any new resident with MI, ID or ORC unless the Department of Public Welfare (Department) has determined and notified the nursing facility via letter that the individual requires nursing facility services and whether that individual meets target criteria and requires specialized services for MI, ID or ORC. Modifications to the PA-PASRR-ID were made based on recommended changes from the Centers for Medicare and Medicaid Services (CMS) and the Department's Program Offices. A chart of the specific changes to the PA-PASRR-ID is provided in this bulletin.

COMMENTS AND QUESTIONS REGARDING THIS BULLETIN SHOULD BE DIRECTED TO: Ruth Anne Barnard

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**PROCEDURE:**

Beginning March 1, 2014, the revised PA-PASRR-ID must be completed, prior to or no later than the day of admission, for individuals seeking admission to an MA certified nursing facility regardless of the individual's payment source. If the applicant/resident is unable to answer the questions, another person who is knowledgeable about the applicant's/resident's medical condition and history (for example: family member, legal representative or member of the health care team) may help to complete the form. Nursing facilities are responsible for assuring the accuracy of information reported on the PA-PASRR-ID. For a new resident entering the nursing facility, the nursing facility must make corrections to the PA-PASRR-ID on the resident's chart when new or missed information becomes available (for example, information provided by the family or doctor). Do not make corrections to or complete a new PA-PASRR-ID for residents readmitted from an acute care hospital. If the individual has a change in condition that affects target status a PA-PASRR-EV (Level II) will need to be completed. Nursing facilities will communicate the need to have a PA-PASRR-EV done by notifying the Department's Office of Long-Term Living, Bureau of Quality and Provider Management, Division of Nursing Facility Field Operations Team via the MA 408 form. Nursing facilities are to advise applicants/residents of their rights to know how the PA-PASRR-ID form will be used, how to obtain a copy of this form and the procedure to appeal the results of the PA-PASRR-ID form.

If the applicant is a target individual who is not an exceptional admission, the individual's PA-PASRR-ID form, along with other required documents, must be forwarded to the appropriate local Area Agency on Aging (AAA) who will complete a Level II assessment to determine the level of care prior to an individual's admission to the nursing facility. The individual may only be admitted after the AAA has forwarded its recommendation to the Department's appropriate program office and that office has issued its decision through a Letter of Determination. Failure to follow this procedure may result in sanctions against a facility and/or loss of federal and state funding. Instructions for completing the revised PA-PASRR-ID are incorporated into the form and the instructional webinar OBRA PASRR ID MA 376 can be found at: <http://www.dpw.state.pa.us/provider/doingbusinesswithdpw/longtermcarecasemixinformation/obratrainingInformation/index.htm>.

The revised form can be ordered from the MA Forms contractor via the Medical Assistance Provider Order Form (MA 300X). The PA-PASRR-ID form number is MA 376 and is available in packs of 25 or may be printed from the following website: <http://www.dpw.state.pa.us/findaform/ordermedicalassistanceforms/index.htm>. The revised PA-PASRR-ID form (MA 376 3/14) will be required for admissions on March 1, 2014 and thereafter. Previous versions of the PA-PASRR-ID form are not acceptable for admissions on March 1, 2014 and thereafter.

Changes to Pennsylvania Preadmission Screening Resident Review Identification Form

Effective 03/01/2014

OLD FORM ITEM	NEW FORM CHANGES
<b>Page 1 – two paragraphs preceding Section I</b>	This information was added to the bulletin's Procedure section and deleted from the new form.
<b>Applicant Info &amp; Section I - Diagnosis</b>	Applicant and Diagnoses sections have been combined and are located in Section I. Also, moved the communication question from previous Section VI to this section.
<b>Section II-A</b>	Section II-A- Changed question 1 to read "Does the individual have a mental disorder, other than dementia, that may lead to a chronic disability?". Eliminated the check boxes for Mental Health diagnoses. Potential Mental Health diagnoses are listed in the beginning of Section II. Added question 2 "Does the individual have a substance related disorder?". Added Skip pattern here.
<b>Section II-C</b>	Added clarification in Section II-C to numbers 1, 3, 4 and 5.
<b>Section III</b>	Changed "Mental Retardation" to "Intellectual Disability". Changed Section III paragraph. Changed Section III-A question to read "Does the individual have a diagnosis of ID (mild, moderate, severe or profound) currently or in the past?" and removed (Skip to III-E) after the NO check box. Replaced Section III-B and III-C questions with new questions. Previous information from Section III-B and III-C is collected on the Level II assessment. For clarification, Section III-D through III-G questions are worded differently, however they gather the same information. Also, added a check box "Cannot determine" to III-D.
<b>Section IV</b>	Changed Section IV paragraph.
<b>Section IV-A</b>	Changed Section IV-A question to read "Does the individual have an ORC?". Removed the NO check box and diagnosis check boxes for Other Related Conditions (ORC). Any diagnosis that has physical, sensory or neurological disability which manifested prior to age 22, could be considered an ORC diagnosis.

<b>Section IV-B &amp; IV-D</b>	New Section IV-B combines questions from Section IV-B and IV-D.
<b>Section IV-E</b>	Moved Section IV-E question relating to Community Based Waiver Program to Section V.
<b>Section IV-F</b>	Section IV-F Is now Section IV-D.
<b>Section V</b>	Section V is the new Section VI. Added a question to the new Section VI, "Does the applicant/resident who is not dangerous to self and/or others meet criteria for Exceptional Admission to a facility without Preadmission Screening Evaluation?".
<b>Section V-A</b>	Section V-A is now Section VI-A and includes new questions.
<b>Section V-B</b>	Section V-B is now Section VI-B.
<b>Section V-C</b>	Section V-C is now Section VI-C.
<b>Section V-D</b>	Section V-D is now Section VI-D.
<b>Section VI</b>	Communication question was moved to Section I.
<b>Section VII and VIII</b>	Section VII combines previous Section VII and VIII. Section VII-Type of Admission now has signature, telephone number and date fields under each type of admission.